

Introduction to the Theory of Computation

Set 11 — Complexity (2)

Time Complexity

Definition

Let M be any deterministic Turing machine that halts on all inputs.

The running time or time complexity of M is the function $f:\mathbb{N}\rightarrow\mathbb{N}$, where $f(n)$ is the maximum number of steps that M uses on any input of length n .

Time Complexity Class

The **running time or time complexity** of M is the function $f:\mathbb{N}\rightarrow\mathbb{N}$, where $f(n)$ is the maximum number of steps that M uses on any input of length n .

Definition

Let $t:\mathbb{N}\rightarrow\mathbb{N}$ be a function.

The time complexity class, $\text{TIME}(t(n))$, is

$\text{TIME}(t(n)) = \{L \mid L \text{ is a language decided by an } O(t(n))\text{-time Turing Machine}\}$

Time Complexity for TMs

Relationship of time complexity for different TM models

If a problem can be solved in $O(t(n))$ time on a **multi-tape TM**, it can be solved in $O(t^2(n))$ time on a **single-tape TM**

If a problem can be solved in $O(t(n))$ time on a **nondeterministic TM**, it can be solved in $2^{O(t(n))}$ time on a **deterministic TM**

Polynomial vs. Exponential Time

We distinguish between algorithms that have **polynomial** running time and those that have **exponential** running time

- Assume a single tape deterministic TM

Polynomial functions – even ones with large exponents – grow less quickly than **exponential** functions

*We can only process large data sets with **polynomial** running time algorithms*

The Class P

P is the class of languages that are *decidable* in *polynomial time* on a single-tape Turing machine

$$\mathbf{P} = \bigcup_k \mathbf{TIME}(n^k)$$

P “roughly corresponds” to the problems that are realistically solvable on a computer

Solving vs. Verifying

What if we don't know how to **solve** the problem in $O(n^k)$ time?

Given a problem and a potential solution, can we **verify** the solution is correct?

Example

The bin-packing problem

- Given a set of n items with fractional weights w_1, w_2, \dots, w_n , and k bins that can hold a maximum weight of 1 each, can we place these items into the bins?

There is no known $O(n^k)$ solution to this problem

What if we have a potential solution

- b_1, b_2, \dots, b_n $1 \leq b_i \leq k$ *b_i indicates the bin for item i*

Can we verify it in $O(n^k)$ time?

Verifier

M = “On input $\langle w_1, \dots, w_n, b_1, \dots, b_n, k \rangle$ ”

1. Initialize s_1, s_2, \dots, s_k to 0

2. For $i = 1, \dots, n$

3. if $b_i \notin \{1, 2, \dots, k\}$ **Reject**

4. $s_{b_i} = s_{b_i} + w_i$

5. if $s_{b_i} > 1$ **Reject**

6. Next i

7. **Accept**

The Class NP

Definition: A *verifier* for a language A is an algorithm V , where

$A = \{ w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c \}$

The string c is called a **certificate of membership** in A .

Definition: NP is the class of languages that have polynomial-time verifiers.

Why NP?

NP problems have polynomial-time solutions on nondeterministic TMs.

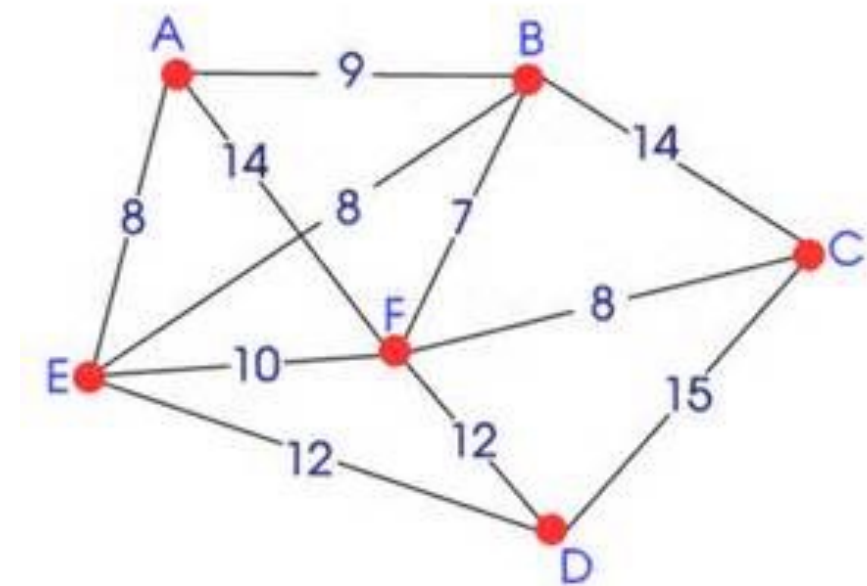
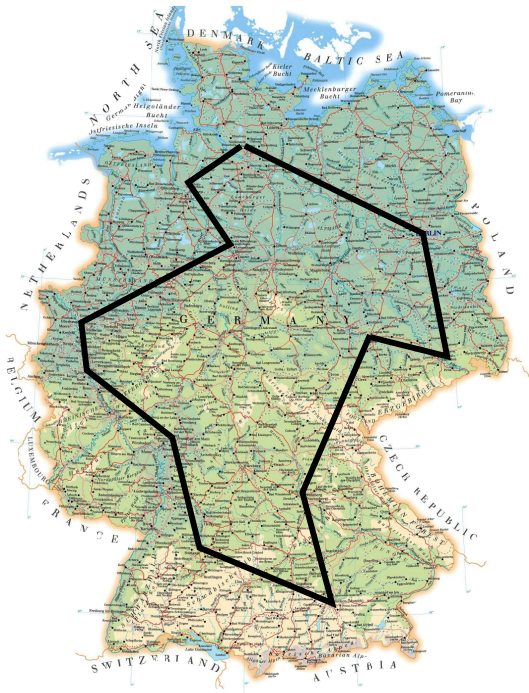
**The N in NP stands for
non-deterministic**

Any language in NP can be non-deterministically solved in polynomial time using the verifier

- **Guess the certificate**
- **Verify**

Example

Find a verifier for the traveling-salesperson problem



- Berlin
- Bremen
- Dresden
- Düsseldorf
- Frankfurt
- Hamburg
- Hannover
- Köln
- Leipzig
- München
- Nürnberg
- Stuttgart

$$d \stackrel{?}{=} 2735\text{km}$$

Hamburg → Bremen → Hannover → Düsseldorf
→ Köln → Frankfurt → Stuttgart → München →
Nürnberg → Dresden → Berlin → Hamburg

- A
- B
- C $d \stackrel{?}{=} 54$
- D
- E $d \stackrel{?}{=} 59$
- F

Example

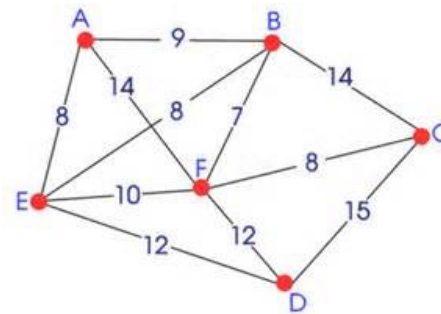
Find a verifier for the traveling-salesperson problem

- Given a weighted graph G (where each Edge has an associated weight) and a distance d , does there exist a cycle through the graph that visits each Vertex exactly once (except for the start/end vertex) and has a total distance d ?

Berlin
Bremen
Dresden
Düsseldorf
Frankfurt
Hamburg
Hannover
Köln
Leipzig
München
Nürnberg
Stuttgart



$d \stackrel{?}{=} 2735\text{km}$



A
B
C
D
E
F

$d \stackrel{?}{=} 54$
 $d \stackrel{?}{=} 59$

Example (*continued*)

Find a verifier for the traveling-salesperson problem

Verifier takes input $\langle v_{i_1}, v_{i_2}, \dots, v_{i_n} \rangle$ $v_{i_k} \in V$

Check that the input is a permutation of the nodes of the graph

- $O(|V|^2)$

Check that the sum of the edges between adjacent v_{i_k} is equal to d

- $O(|E| \times |V|)$

Example: Colorability

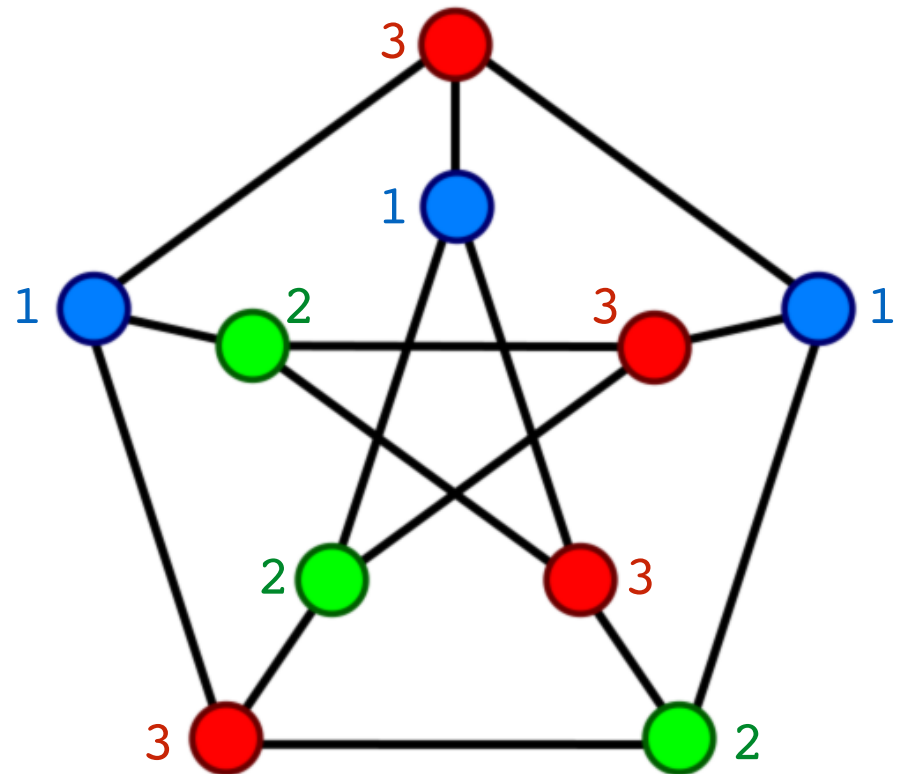
Color the vertices of a graph such that no two adjacent vertices share the same color.

The 3-Color Problem

- **INPUT:** Graph G with vertices V and edges E
- **PROPERTY:** There is a function $f: \mathbb{N} \rightarrow \{1, 2, 3\}$ such that if u and v are adjacent then $f(u) \neq f(v)$

Determine if the graph can be colored using **at most 3** colors such that no two adjacent vertices are given the same color.

Verifiable in polynomial time



The Class co-NP

$$L \in \text{co-NP} \iff \bar{L} \in \text{NP}$$

A language is in co-NP if and only if its complement is in NP.

For example,

$\overline{\text{3-Color}} = \{\langle G \rangle \mid G \text{ is a graph that **cannot** be colored using only 3 colors}\}$

NP: A language L is in NP if and only if a *qualifying* certificate can be checked efficiently.

co-NP: A language L is in co-NP if and only if a *disqualifying* certificate can be checked efficiently.

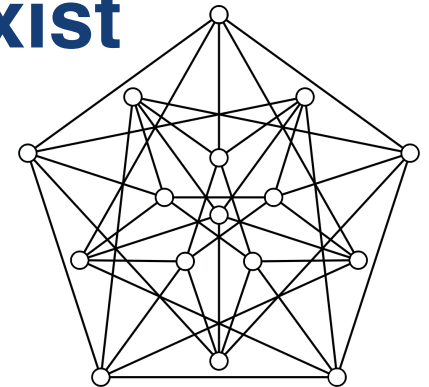
Is NP closed under complementation?

The 3-Color problem is in NP.

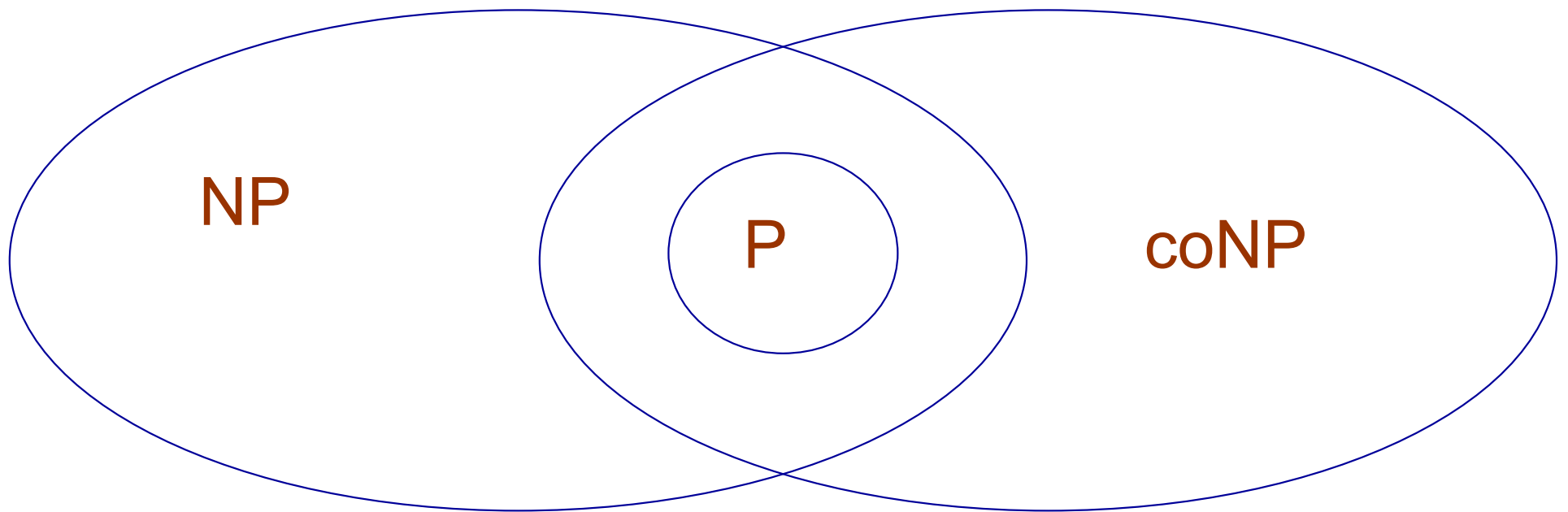
What about 3-Color?

Can we *verify* in polynomial time that a graph cannot be 3-colored?

- Not obviously
- It seems we need to check many 3-colorings before we can conclude that none exist

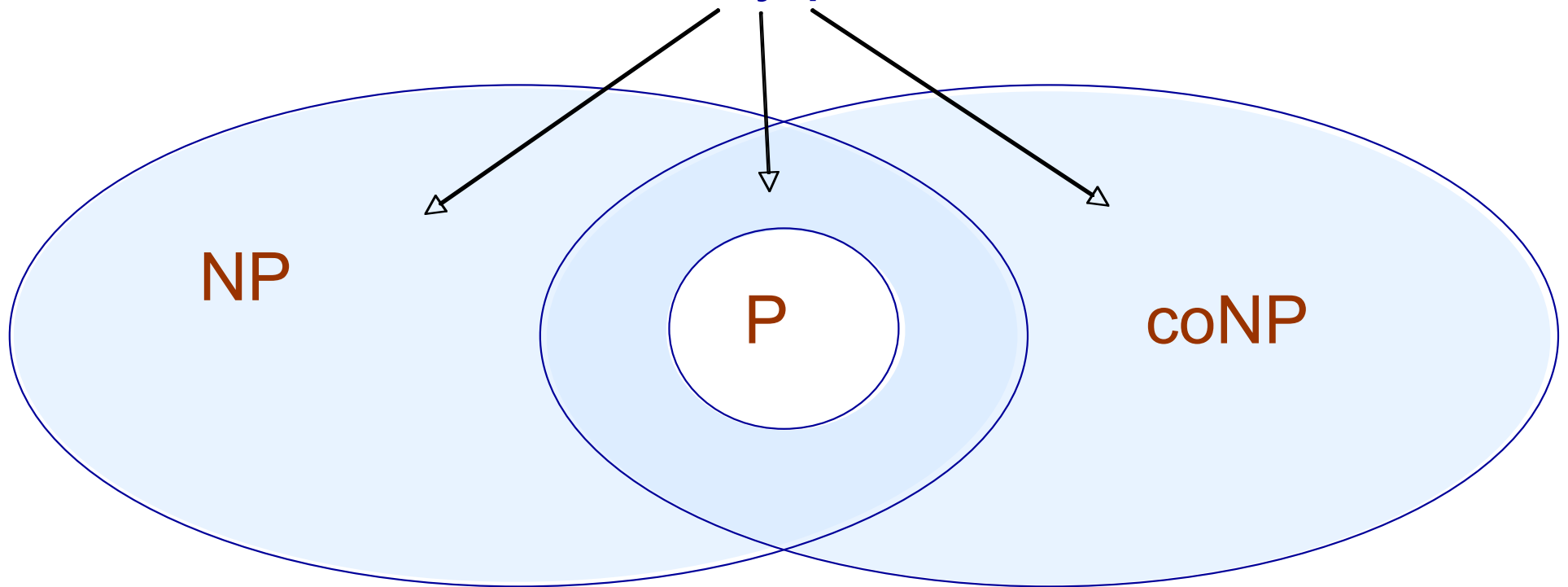


What we know



What we don't know

Are there any problems here?



Who wants \$1,000,000?

In May, 2000, the Clay Mathematics Institute named seven open problems in mathematics the Millennium Problems

- **Anyone who solves any of these problems will receive \$1,000,000**
- **Proving whether or not P equals NP is one of these problems**

<http://www.claymath.org/millennium-problems/p-vs-np-problem>

Solving NP Problems

The best-known methods for solving problems in NP that are not known to be in P take exponential time

Brute force search

NP-completeness

A problem C is **NP-complete** if finding a polynomial-time solution for C would imply $P=NP$

Definition: Language B is **NP-complete** if it satisfies two conditions:

- B is in NP, and
- Every A in NP is polynomial time reducible to B

Reductions and NP-completeness

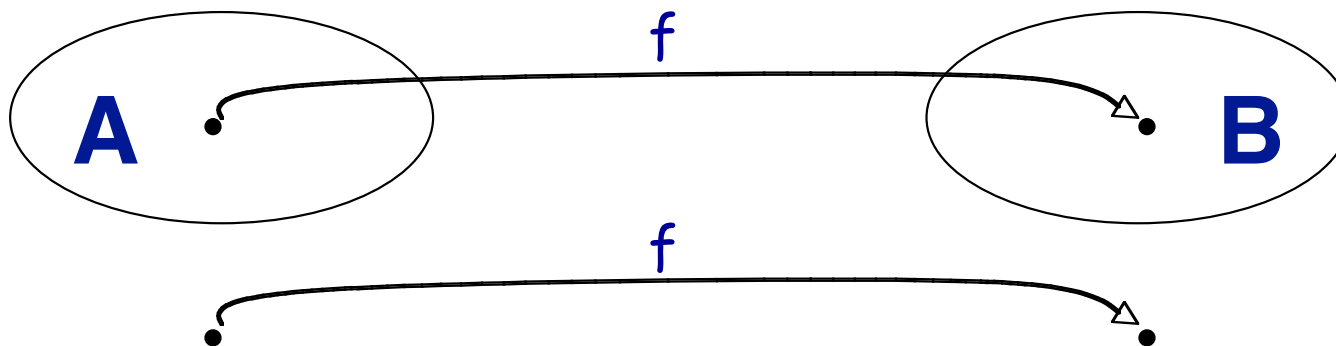
If we can prove an NP-complete problem C can be polynomially reduced to a problem A, then we've shown A is NP-complete

- **A polynomial-time solution to A would provide a polynomial-time solution to C, which would imply $P=NP$**

Polynomial Reductions

Definition: Language A is polynomial-time reducible to language B , written $A \leq_P B$, if a polynomial time computable function $f: \Sigma^* \rightarrow \Sigma^*$ exists, where for every w

$$w \in A \Leftrightarrow f(w) \in B$$



Reductions & NP-completeness

Theorem: If $A \leq_P B$ and $B \in P$, then $A \in P$

Proof: Let M be the polynomial time algorithm that decides B and let f be the polynomial reduction from A to B .

Consider the TM N

$N =$ “On input w

- Compute $f(w)$**
- Run M on $f(w)$ and output M 's result”**

Then N decides A in polynomial time.

Implications of NP-completeness

Theorem: If B is NP-complete and $B \in P$, then $P = NP$.

Theorem: If B is NP-complete and $B \leq_P C$ for some C in NP, then C is NP-complete

Showing a Problem is NP-complete

Two steps to proving a problem L is NP-complete

- Show the problem is in NP
 - Demonstrate there is a polynomial time verifier for the problem
- Show some NP-complete problem can be polynomially reduced to L

https://en.wikipedia.org/wiki/List_of_NP-complete_problems

Summary

To show a language L is NP-complete

- Demonstrate L is in NP
- Find a language C that is known to be NP-complete
- Create a function f from C to L
- Demonstrate that if x is in C then $f(x)$ is in L
- Demonstrate that if $f(x)$ is in L then x is in C
- Demonstrate f is computable in polynomial time

Course Recap — Goals

Explore the capabilities and limitations of computers

- **Automata theory**
 - How can we mathematically model computation?
- **Computability theory**
 - What problems can be solved by a computer?
- **Complexity theory**
 - What makes some problems computationally hard and others easy?

Course Recap

Automata Theory ✓

- **Introduced DFA, NFA, Regular Grammar, RE**
 - **Showed that they all accept the same class of languages**
- **Introduced CFG, PDA**
 - **PDA is essentially an NFA with a stack**
 - **PDA and CFGs accept the same class of languages**

Course Recap

Computability Theory ✓

- **Introduced TM**
 - Like PDA's with more general memory model
- **Importance of TM**
 - Church-Turing Thesis
 - Any algorithm can be implemented on a TM
- **Use the TM model and Church-Turing Thesis to understand and classify languages**
 - Decidable languages
 - Undecidable languages
 - Recognizable languages
 - Unrecognizable languages
 - Complements of languages in these classes

Course Recap

Complexity Theory ✓

- Use TM model to determine how long an algorithm takes to run
 - **Function of input length**
- Classify algorithms according to their complexity
- Deciders vs Verifiers
- P , NP , NP-completeness